

**CITY OF SPRINGFIELD
LIQUOR LICENSE APPLICATION
J. Michael Houston Mayor and Liquor Control Commissioner**

1296

WARNING: THE FILING OF THIS APPLICATION DOES NOT PERMIT THE APPLICANT TO ENGAGE IN THE SALE OF ALCOHOLIC LIQUOR. ISSUANCE OF THE REQUESTED LICENSE MUST PRECEDE OPERATION OF THE BUSINESS.

[The term applicant includes the named proposed owner (ie, corp., individual, etc.), any partner, any majority stockholder or any manager of the proposed business.]

\$50 application fee must accompany the filing of this application

AFFIDAVIT

This is an application for: [Check One]

A proposed new business.

(Complete ALL items, EXCEPT Part II)

New license as purchaser of an existing business -> Existing Business Info. _____ License Classification

(Complete every line item)

PART I - INFORMATION FOR BUSINESS

1. **This application is for a class _____ liquor license to sell alcoholic beverages.** (Note: Class "D" and "E" licenses require that over 50% of the gross receipts of the licensed business be derived from the sale of food.)
2. Is new location within 100 ft. of a church, school, etc.? (See ILCS 5/6.11 for full explanation of this restriction) YES NO
[If "yes", **STOP!** You are ineligible to receive a license under state law.] [If "no", continue below.]
3. **Zoning.** Attached evidence of proper zoning: YES NO
(Contact the City's Building & Zoning Department to acquire proper zoning)
4. Name of Entity (ie, indiv ,corp, etc.) that will hold the license _____
5. Proposed name of the establishment (d/b/a): _____
6. Address of new business: _____
7. Make a short statement explaining the purpose of the business: _____
8. Proposed phone number: _____
9. List days and hours of operation: Mon. _____ Tues. _____ Wed. _____ Thur. _____ Fri. _____
Sat. _____ Sun. _____
10. **ATTACHMENT REQUIRED:**
A properly executed copy of the *lease*, signed by lessee and lessor. This lease must be in effect for the term of the license and expire 12/31/--. *This lease must be notarized and dated by the notary.*
OR
Attach *proof of ownership* of the establishment. (i.e., quick claim deed, etc.)
11. Who/What entity owns the premises of proposed business? [You must supply documentation demonstrating ownership]
Name, Address, City, State, Zip, Phone number: _____
12. List all parties financing purchase or construction of business to be licensed: (Attach additional documents, if necessary)
Name: _____ Address: _____
City/St/Zip: _____ Phone: _____

PART II - INFORMATION FOR PURCHASE OF EXISTING BUSINESS

- 1. Current information for existing liquor licensee:
 Owner: _____ d/b/a: _____
 Address: _____ Zip Code: _____ Telephone Number: _____
- 2. Proposed new information:
 Owner: _____ d/b/a: _____
 Address: _____ Zip Code: _____ Telephone Number: _____
- 3. Business has been operated since _____, 19__ by the current licensee.
- 4. Is the business presently being operated, as a "going concern having value"? ____ YES ____ NO
- 5. Amount paid for business. Amount: \$ _____
- 6. Value of the physical assets. (Building, fixtures, furniture, stock, equipment, if purchased. Must attach proof of purchase.)
 Amount \$ _____
- 7. **ATTACHMENT REQUIRED:** A letter of relinquishment, signed, dated and notarized, by the current licensee evidencing the intent to relinquish the current license should this application be granted.

PART III - APPLICANT'S INFORMATION

- 1. The license and ownership of the business will be held by:
 - A. CORPORATION*: _____ Corporate Name: _____
 State of Corporation: _____ If not Illinois, provide the name, address and phone number of registered agent:
 Name: _____ Address: _____ Phone: _____
***The following are required to be attached to this application:**
 _____ COPIES OF ARTICLES OF INCORPORATION
 _____ CURRENT CERTIFICATE OF GOOD STANDING ISSUED BY THE ILLINOIS SECRETARY OF STATE
 _____ OBJECTS FOR WHICH ORGANIZED
 LIST THE NAME, TITLE, ADDRESS & DATE OF BIRTH FOR ALL OFFICERS, DIRECTORS, STOCKHOLDERS OWNING MORE THAN 5% (AND THEIR PERCENTAGE OF OWNERSHIP), AND EACH MANAGER OR AGENT WHO WILL CONDUCT BUSINESS OR WORK IN THE PLACE OF BUSINESS. (ATTACH SEPARATE SHEET IF NECESSARY.)

| | | | |
|-------|--------|----------|-------|
| NAME: | TITLE: | ADDRESS: | DOB: |
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |
 - B. INDIVIDUAL: _____ Name of applicant: _____
 Address/City/State/Zip: _____
 Phone _____ Date of Birth _____ Age _____
 - C. PARTNERSHIP: _____ Name: _____
Supply information concerning all partners and all persons entitled to share in the profits of partnership: (Attach additional sheet, if necessary)
 - 1. Name: _____ Date of Birth: _____
 Address: _____ City: _____
 State/Zip _____ Phone: _____
 Percentage of interest owned: _____

2. Name: _____ Date of Birth: _____
Address: _____ City: _____
State/Zip _____ Phone: _____
Percentage of interest owned: _____

D. LMT. PARTNERSHIP*: _____ Name:
****Supply information concerning all partners entitled to share in the profits of partnership and all limited partners owning more than 5% interest. (Attach additional sheet, if necessary)***

1. Name: _____ Date of Birth: _____
Address: _____ City: _____
State/Zip _____ Phone: _____

2. Name: _____ Date of Birth: _____
Address: _____ City: _____
State/Zip _____ Phone: _____

****The following are required to be attached to this application:***
COPIES OF ARTICLES OF INCORPORATION
CURRENT CERTIFICATE OF GOOD STANDING ISSUED BY THE ILLINOIS SECRETARY OF STATE
OBJECTS FOR WHICH ORGANIZED
LIST THE NAME, TITLE, ADDRESS & DATE OF BIRTH FOR ALL OFFICERS, DIRECTORS,
PERSONS OWNING MORE THAN 5% INTEREST IN THE BUSINESS (AND THEIR PERCENTAGE OF
OWNERSHIP), AND EACH MANAGER OR AGENT WHO WILL CONDUCT BUSINESS OR WORK IN THE
PLACE OF BUSINESS. (ATTACH SEPARATE SHEET IF NECESSARY.)

E. CLUB*: _____ NAME:

****The following are required to be attached to this application:***
___ COPIES OF THE ORGANIZATION'S CORPORATE CHARTER.
___ OBJECTS FOR WHICH ORGANIZED
___ LIST THE NAME, TITLE, ADDRESS & DATE OF BIRTH FOR ALL OFFICERS, DIRECTORS AND
EACH MANAGER OR AGENT WHO WILL CONDUCT BUSINESS OR WORK IN THE PLACE OF
BUSINESS. (ATTACH SEPARATE SHEET IF NECESSARY.)
___ ATTACH TWO COPIES OF A LIST OF THE NAMES AND ADDRESSES OF ALL CURRENT MEMBERS.

2. Citizenship:
Corporations: (Information required for each officer and stockholder owning more than 5% of corporate stock. Add attachment if needed.)

Name: _____
 ___ U.S. Citizen ___ Non-U.S. Citizen
 Naturalized/Date/Place _____

Name: _____
 ___ U.S. Citizen ___ Non-U.S. Citizen
 Naturalized/Date/Place _____

Individual Applicant & Partnerships:(for each person)

Name: _____
 ___ U.S. Citizen ___ Non-U.S. Citizen
 Naturalized/Date/Place _____

Name: _____
 ___ U.S. Citizen ___ Non-U.S. Citizen
 Naturalized/Date/Place _____

Limited Partnerships: (Information required for each general partner and any limited partner owning more than 5% interest. Add attachment if needed.)

Name: _____
 ___ U.S. Citizen ___ Non-U.S. Citizen
 Naturalized/Date/Place _____

Name: _____
 ___ U.S. Citizen ___ Non-U.S. Citizen
 Naturalized/Date/Place _____

3. The applicant(s) is/are a bonafide resident(s) of the City of Springfield, Illinois. ___YES ___NO

4. Previous applications: Have you, the applicant, ever made previous applications (not include this application) to the Springfield, IL liquor commission for a liquor license? ___YES ___NO
 If YES, supply the information below:

| Total number applied for in the last FIVE years | Total number <i>disapproved</i> in the past FIVE years | Total number of licenses suspended or revoked |
|---|--|---|
| | | |
| | | |
| | | |

5. MANAGER*: Will one or more managers be employed in the operation of the business?:
 ___ NO ___ YES

If YES, complete items below:

***Corporations or limited partnerships , must employ and have a background investigation done on a manager who is a resident of the City of Springfield**

***Each person listed here as a manager, must complete a background questionnaire and submit to a background investigation.**

Name: _____ Age: _____
 Address/City/State/Zip: _____ Citizen of the U.S.?: ___yes ___no

Name: _____ Age: _____
 Address/City/State/Zip: _____ Citizen of the U.S.?: ___yes ___no

PART IV
Applicant(s) Stipulations and Agreement

SIGNATURES REQUIRED: Individual applicant; all partners of a partnership; if a limited partnership any general partners and any limited partners owning more than a 50% interest in a limited partnership; all corporate officers, directors, any stockholder owning more than 50% of the corporation stock and they must indicate their official position.

THE APPLICANT(S) HEREBY AGREE AND STIPULATE AS FOLLOWS:

1. To be questioned or to testify under oath to all relevant and material matters at the request of the Commissioner, either before or after the issuance of the license.
2. To provide upon receipt of a lawfully authorized subpoena issued by the Commissioner, any books or records of the licensed business.
3. That the applicant, any partner, stockholder, manager or employee, when requested by the Commissioner, will permit a record of his or her fingerprints to be made for the purpose of further investigation of this application.
4. That the applicant has not accepted, received or borrowed money, or anything else of value, or accepted or received credit (other than merchandise credit, for a period not to exceed 30 days) from a manufacturer, distributor or wholesaler of alcoholic liquor.
5. That the applicant, i.e. individual, partners, corporate officers, or corporate stockholders, are not sworn officers or employees of the Police Department of the City of Springfield, nor do any individuals, partners, corporate officers or corporate stockholders possess police power within the State of Illinois.
6. That the applicant shall not allow the licensed premises to become or constitute a nuisance to the neighborhood of its location, and I realize that should it, any license issued me now or in the future may be suspended or revoked, so as to abate the nuisance.
7. That the applicant hereby consents to a complete background investigation of himself, partners, officers, directors, stockholders of more than 5% ownership, and managers of the applicant. It is agreed that all forms necessary to effect this purpose will be completed by persons in interest and that cooperation in such investigation be a prerequisite to the approval of the license applied for. It is understood that only information necessary and relevant to the protection of the public interest will be sought.

The applicant is aware that should this application be granted, the business location may not be transferred for at least (90) days following commencement of operation at the current location.

The undersigned applicants hereby agree and stipulate to the above provision

NAME: _____ TITLE: _____
Signature _____

Print Name _____

Signature _____
Print Name _____

Signature _____
Print Name _____

Signature _____
Print Name _____

Signature _____
Print Name _____

Signature _____
Print Name _____

PART V
AFFIDAVIT

The undersigned, being duly sworn, on oath, certifies that all information supplied in this application is true and correct as to myself/ourselves, in any partners or corporation and its stockholders, as well as managers listed and that I/we will advise the Liquor Commissioner, in writing, if any information supplied becomes invalid or additional information is required by the Springfield Liquor Code now or as hereafter amended.

AFFIDAVIT

The undersigned, being duly sworn, hereby attest, under penalty of perjury that I/we have paid all taxes or other debts owed to the City of Springfield. I/we understand that the commissioner shall refuse to issue this license until such time as all taxes and outstanding debts are paid; the commission shall be authorized to suspend or revoke the license if I/we fail to pay any tax payable to the City or other debt owed to the City by the date it is due.

SIGNATURES REQUIRED: Individual applicant; all partners of a partnership; if a limited partnership, any general partners and any limited partners owning more than a 50% interest in a limited partnership; all corporate officers, directors, and any stockholder owning more than 50% of the corporation stock. All officers and directors must indicate their official position.

| | |
|------------------|------------------|
| Signature _____ | Signature _____ |
| Print Name _____ | Print Name _____ |
| Title _____ | Title _____ |

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| Signature _____ | Signature _____ |
| Print Name _____ | Print Name _____ |
| Title _____ | Title _____ |

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| Signature _____ | Signature _____ |
| Print Name _____ | Print Name _____ |
| Title _____ | Title _____ |

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| Signature _____ | Signature _____ |
| Print Name _____ | Print Name _____ |
| Title _____ | Title _____ |

NOTARY:

Subscribed and sworn to before me this _____ day of _____, 20__ .

(SEAL)

Notary Public

LIQUOR COMMISSION USE ONLY

Application Recommendation: APPROVAL / DENIAL Date _____ Time _____ Business License Mgr Signature _____